CORP.	PERSONA	AL FINANCIAL STATEMENT	FORM PFS
			COVER SHEET
		accordance with chapter 572 of the Government Code. ired in 2009, covering calendar year ending December 31, 2008.	TOTAL NUMBER OF PAGES FILED:
		M PFSINSTRUCTION GUIDE when completing this form.	ACCOUNT #
1	NAME	TITLE; FIRST; MI	OFFICE USE ONLY
		Mr. Royce	Date Received
		NICKNAME; LAST; SUFFIX	RECEIVED
	:	Senator West	JUN 3 0 2009
2	ADDRESS	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE	1
		320 South R.L. Thorton Freeway	Texas Ethics Commission
		Suite 300 Dallas, Texas 75203	
		2411115, 1211110	Receipt #
		(CHECK IF FILER'S HOME ADDRESS)	HD / PD Amount Amount
3	TELEPHONE	AREA CODE PHONE NUMBER; EXTENSION	PROCESSED JUN 3 0 2009
	NUMBER		Date Imaged
4	REASON FOR FILING STATEMENT	☐ CANDIDATE  Texas State Senator, District 23  APPOINTED OFFICER  Member, Texas Emancipation Juneteenth Comparison  EXECUTIVE HEAD  FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT  STATE PARTY CHAIR  OTHER	(INDICATE OFFICE)  mmission (INDICATE AGENCY)  (INDICATE AGENCY)
5	dependent children	hose financial activity you are reporting (filer must report information about the if the filer had actual control over that activity):  al Richard West  HILD 1.	· · · · · · · · · · · · · · · · · · ·

In Parts 1 through 18, you will disclose your financial activity during the preceding calendar year. In Parts 1 through 14, you are required to disclose not only your own financial activity, but also that of your spouse or a dependent child if you had actual control over that person's financial activity.

SELF-EMPLOYED

Texas Ethics Commission P.O.	Box 12070	Austin, Texas 78711-2070	(512)463-5800	1-800-	325-8506
SOURCES OF OCC	UPATIC	NAL INCOME	-	PART	1A
☐ NOT APPLICABLE		·			
When reporting information abor- providing the number under which	ut a depende ch the child is	nt child's activity, indicate the chi listed on the Cover Sheet.	ild about whom you are reporting	j by	
1 INFORMATION RELATES TO					
	⊠ FILE	R SPOUSE	☐ DEPENDENT CHILD		
<sup>2</sup> EMPLOYMENT			EMPLOYER / POSITION HELD  Ier's Home Address)		
☐ EMPLOYED BY ANOTHER	Royce V	Vest & Associates P.C.	iel's nome Address)		
	I Ste 300	. Thornton Freeway			
	Dallas,	TX 75203			
	Legal				
·					
			÷		
					*
C	OPY AND A	TTACH ADDITIONAL PAGES	AS NECESSARY		····

as Ethics Commission P.O.	Box 12070 Austin, Tex	as 78711-2070	(512)463	
RETAINERS				PART 1E
□ NOT APPLICABLE				
This section concerns fees rece our spouse, or a dependent ch ervices on a matter specified a ne work actually performed dur ee FORM PFS - INSTRUCTIO When reporting information abo providing the number under whi	ild have a 'substantial inte It the time of contracting fo ing the calendar year did IN GUIDE	erest') for a claim on function or receiving the fee not equal or exceed the second to	uture services in case on Report information he ne value of the retainer.	f need, rather than for tre only if the value of For more information
		NAME AND A	DDRESS	
FEE RECEIVED FROM	Texas Instruments			
	12500 TI Boulevard Dallas,, TX 75243			
		NAME OF BU	SINESS	
FEE RECEIVED BY	☑ FILER OR FILER'S BUS		t & Associates, LLP	
	☐ SPOUSE OR SPOUSE'S E	BUSINESS		
	DEPENDENT CI	HILD SINESS		
FEE AMOUNT	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	X \$25,000OR MOR
			•	
	•			
		, ,		

Texas Ethics Commission	P.O. Box 120	70 Austin, Texas 78	711-2070	(512)463-	5800	1-800-32	25-8506
STOCK						PART	2
☐ NOT APPLICAE	BLE						
and indicate the cate category of the amou INSTRUCTION GUID	gory of the numbe nt of the net gain DE.	your spouse, or a depe r of shares held or acq or loss realized from the	uired. If some or all e sale. For more inf	of the stock was solo ormation, see FORM	I, also indi PFS	cate the	
providing the number	nation about a deput and the control of the control	pendent child's activity, child is listed on the Co	indicate the child at ver Sheet.	out whom you are re	eporting by		
<sup>1</sup> BUSINESS ENTITY	Y	Reach Media, Inc	NAI	ME			
2 STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D		
<sup>3</sup> NUMBER OF SHA	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☑ 10,000 OR MORE	☐ 500 TO 999	1,000	TO 4,999	
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	0OR MC	RE
BUSINESS ENTIT	Y	Radio One	NA	ME			
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D		
NUMBER OF SHA	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☑ 10,000 OR MORE	☐ 500 TO 999	1,000	ГО 4,999	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	0OR MC	DRE
BUSINESS ENTIT	Y	Time Warner	NA	ME			
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D		
NUMBER OF SHA	RES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☒ 1,000	TO 4,999	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LĖSS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,00	00OR M	ORE
BUSINESS ENTIT	Υ	VM Ware Inc., Class A		ME			
STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D		
NUMBER OF SHA	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000	TO 4,999	
IF SOLD	☐ NET GAIN ☑ NET LOSS	LESS THAN \$5,000	<b>55,000 - \$9,999</b>	X \$10,000 - \$24,999	\$25,00	00OR M	ORE
BUSINESS ENTIT	Υ	Walmart	NA.	ME			
STOCK HELD OR	ACQUIRED BY	∏ FILER	SPOUSE	DEPENDENT CHI	LD		
NUMBER OF SHA	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000	TO 4,999	

☐ NET GAIN

☐ NET LOSS

IF SOLD

☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE

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STOCK					PART 2
☐ NOT APPLICABI	LE		•		
and indicate the categ	ory of the numbe nt of the net gain	your spouse, or a depe or of shares held or acq or loss realized from the	uired. If some or all	of the stock was sold	l, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	porting by
<sup>1</sup> BUSINESS ENTITY	,	Pegasus Bank	NA	ME	
2 STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILI	D
<sup>3</sup> NUMBER OF SHAF	RES	LESS THAN 100	☐ 100 TO 499 ☑ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE
BUSINESS ENTITY		CT Holdings, Inc	NA	AME	
STOCK HELD OR A	ACQUIRED BY	FILER	X SPOUSE	DEPENDENT CHILI	D
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	CDSS Wind Down Inc.		AME	
BUSINESS ENTITY STOCK HELD OR A		CDSS Wind Down Inc.		AME  DEPENDENT CHIL	.D
	ACQUIRED BY			DEPENDENT CHIL	.D ☑ 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☐ FILER ☐ LESS THAN 100		DEPENDENT CHIL	X 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	X SPOUSE	DEPENDENT CHIL	X 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	X SPOUSE	☐ DEPENDENT CHIL ☐ 500 TO 999	X 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR AN NUMBER OF SHAF	ACQUIRED BY RES NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 Chart Industries	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	X 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 Chart Industries ☐ FILER ☐ LESS THAN 100	SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   N/	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	☐ \$25,000OR MORE
STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAFE	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 Chart Industries ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   N/2   SPOUSE   10,000 OR MORE   10,000 OR MORE   \$5,000 - \$9,999   N/2   Spous   S	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	☐ \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAFE  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 Chart Industries ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   N/2   SPOUSE   10,000 OR MORE   10,000 OR MORE   \$5,000 - \$9,999   N/2   Spous   S	☐ DEPENDENT CHIL ☐ 500 TO 999  =	☐ \$25,000OR MORE  D ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR ANUMBER OF SHAPE IF SOLD  BUSINESS ENTITY STOCK HELD OR ANUMBER OF SHAPE IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS  ACQUIRED BY RES NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 Chart Industries ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 International Paper Co	SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   N/2   SPOUSE   10,000 OR MORE   10,000 OR MORE   \$5,000 - \$9,999   N/2   Spouse   \$5,000 - \$9,999   N/2   Spouse   Spouse	☐ DEPENDENT CHIL ☐ 500 TO 999  =	☐ \$25,000OR MORE  D ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAP  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS  ACQUIRED BY RES NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ Chart Industries ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ International Paper Co	SPOUSE	☐ DEPENDENT CHIL ☐ 500 TO 999  =	□ \$25,000OR MORE □ \$25,000OR MORE □ 1,000 TO 4,999 □ \$25,000OR MORE

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STOCK					PART 2
☐ NOT APPLICABI	LE				
and indicate the categ	ory of the numbe it of the net gain	your spouse, or a depe or of shares held or acque or loss realized from the	uired. If some or all	of the stock was sold	t, also indicate the
When reporting inform providing the number	ation about a de	pendent child's activity, child is listed on the Co	indicate the child alver Sheet.	oout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	•	Bear Stearnes Compar		ME	
2 STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	☐ LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	X NET GAIN ☐ NET LOSS	LESS THAN \$5,000	<b>X</b> \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		Ford Motor Co.	NA	ME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☑ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>X</b> \$10,000 - \$24,999	\$25,000-OR MORE
BUSINESS ENTITY	•	Wachovia Corp 2nd Ne		ME	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
STOCK HELD OR A	-	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	D 1,000 TO 4,999
	-	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
NUMBER OF SHAF	RES    NET GAIN   NET LOSS	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	□ 500 TO 999	1,000 TO 4,999
NUMBER OF SHAF	NET GAIN NET LOSS	LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000	☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	□ 500 TO 999 □ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE
NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	NET GAIN NET LOSS	LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  Windstream Corp	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999  SME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000-OR MORE
IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	NET GAIN NET LOSS	LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  Windstream Corp  FILER  LESS THAN 100	100 TO 499 10,000 OR MORE \$5,000 - \$9,999  NA SPOUSE 100 TO 499	\$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000-OR MORE
NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A  NUMBER OF SHAF	NET GAIN NET LOSS  ACQUIRED BY RES NET GAIN NET LOSS	LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  Windstream Corp  FILER  LESS THAN 100  5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	\$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE ☐ D ☐ 1,000 TO 4,999
IF SOLD  BUSINESS ENTITY  STOCK HELD OR A  NUMBER OF SHAF	NET GAIN NET LOSS  ACQUIRED BY RES NET GAIN NET LOSS	LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  Windstream Corp  FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000	☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  D  X 1,000 TO 4,999  ☐ \$25,000—OR MORE
IF SOLD  BUSINESS ENTITY  STOCK HELD OR A  NUMBER OF SHAF	NET GAIN NET LOSS  ACQUIRED BY RES NET GAIN NET LOSS	LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  Windstream Corp  FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  Clean Energy Fuels Co	100 TO 499	500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  D  X 1,000 TO 4,999  ☐ \$25,000—OR MORE
IF SOLD  BUSINESS ENTITY  STOCK HELD OR A  NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	NET GAIN NET LOSS  ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  Windstream Corp ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  Clean Energy Fuels Co ☐ FILER ☐ LESS THAN 100	100 TO 499	500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ D  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ D  ☐ 1,000 TO 4,999

exas Ethics Commission	P.O. Box 120	70 Austin, Texas 78	3711-2070	(512)463-	5800 1-800-325-8506
STOCK					PART 2
☐ NOT APPLICABLE					
List each business entity and indicate the category category of the amount of INSTRUCTION GUIDE.	of the number of the net gain	er of shares held or acq or loss realized from th	uired. If some or all e sale. For more inf	of the stock was sold formation, see FORM	l, also indicate the PFS
When reporting information providing the number und	der which the	pendent child's activity, child is listed on the Co	undicate the child at ver Sheet.	oout whom you are re	eporting by
1 BUSINESS ENTITY		Exelixis Inc	NA	ME	
2 STOCK HELD OR ACC		X FILER	SPOUSE	DEPENDENT CHILI	D
<sup>3</sup> NUMBER OF SHARES	5	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
4 IF SOLD C	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000-OR MORE
BUSINESS ENTITY		Freddie Mac	NA	ME	
STOCK HELD OR ACC	QUIRED BY	X FILER	SPOUSE	DEPENDENT CHILI	D
NUMBER OF SHARES	6	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD C	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE
BUSINESS ENTITY		Himax Technologies, I	NA NC	ME	
BUSINESS ENTITY STOCK HELD OR ACC	QUIRED BY	Himax Technologies, li	nc NA	ME	D
			nc	DEPENDENT CHIL	D X 1,000 TO 4,999
STOCK HELD OR ACC		☐ LESS THAN 100	SPOUSE  100 TO 499	DEPENDENT CHIL	X 1,000 TO 4,999
STOCK HELD OR ACC	NET GAIN	☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	X 1,000 TO 4,999
STOCK HELD OR ACC	NET GAIN NET LOSS	<ul><li>☐ FILER</li><li>☐ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHILI ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ \$25,000—OR MORE
STOCK HELD OR ACCONUMBER OF SHARES  IF SOLD  BUSINESS ENTITY	NET GAIN NET LOSS  QUIRED BY	☐ LESS THAN 100☐ 5,000 TO 9,999☐ LESS THAN \$5,000☐ Morgan Stanley	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ \$25,000—OR MORE
STOCK HELD OR ACCOUNT OF SHARES  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ACCOUNT OF STOCK HELD OR ACCOUNT OF SHARES	NET GAIN NET LOSS  QUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  Morgan Stanley ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  SPOUSE  100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR ACCONUMBER OF SHARES  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ACCONUMBER OF SHARES	NET GAIN NET LOSS  QUIRED BY  NET GAIN		SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHILL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	<ul><li>X 1,000 TO 4,999</li><li>X \$25,000−OR MORE</li><li>D</li><li>X 1,000 TO 4,999</li></ul>
STOCK HELD OR ACCONUMBER OF SHARES  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ACCONUMBER OF SHARES  IF SOLD  L  L  L  L  L  L  L  L  L  L  L  L	NET GAIN NET LOSS  QUIRED BY  NET GAIN NET LOSS	□ LESS THAN 100     □ 5,000 TO 9,999      □ LESS THAN \$5,000      Morgan Stanley      区 FILER     □ LESS THAN 100     □ 5,000 TO 9,999      □ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHILL ☐ 500 TO 999 ☐ \$10,000 - \$24,999 ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	<ul> <li>X 1,000 TO 4,999</li> <li>X \$25,000−OR MORE</li> <li>X 1,000 TO 4,999</li> <li>X \$25,000−OR MORE</li> </ul>
STOCK HELD OR ACCONUMBER OF SHARES  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ACCONUMBER OF SHARES  IF SOLD  BUSINESS ENTITY	NET GAIN NET LOSS  QUIRED BY NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  Morgan Stanley ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CitiGroup	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	□ DEPENDENT CHIL         □ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ 500 TO 999         ME         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ 500 TO 999	<ul> <li>X 1,000 TO 4,999</li> <li>X \$25,000−OR MORE</li> <li>X 1,000 TO 4,999</li> <li>X \$25,000−OR MORE</li> </ul>
STOCK HELD OR ACCONUMBER OF SHARES  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ACCONUMBER OF SHARES  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ACCONUMBER OF SHARES	NET GAIN NET LOSS  QUIRED BY NET GAIN NET LOSS		SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA	□ DEPENDENT CHIL         □ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ 500 TO 999         ME         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ 500 TO 999	<ul> <li>X 1,000 TO 4,999</li> <li>X \$25,000−OR MORE</li> <li>X 1,000 TO 4,999</li> <li>X \$25,000−OR MORE</li> </ul>

Texas Ethics Commission	P.O. Box 120	070 Austin, Texas 78	3711-2070	(512)463-	5800	1-800-32	الح وجمد
<b>STOCK</b>		1.2001, 10.001		(312)403-		PART	
☐ NOT APPLICABL	.E					IAINI	_
and indicate the category of the amoun INSTRUCTION GUIDE When reporting informs	ory of the numbe t of the net gain E. ation about a de	your spouse, or a depe er of shares held or acq or loss realized from th pendent child's activity,	uired. If some or all e sale. For more inf indicate the child at	of the stock was sold ormation, see FORM	l, also indi PFS	cate the	
providing the number ι	under which the	child is listed on the Co	ver Sheet.				
<sup>1</sup> BUSINESS ENTITY		Diana Shipping, Inc	NA	ME			
2 STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D		
<sup>3</sup> NUMBER OF SHAR	ES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☑ 1,000 1	ГО 4,999	
<sup>4</sup> IF SOLD	☐ NET GAIN☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	0-OR MC	RE
BUSINESS ENTITY	1/2/2/2-1	Fannie Mae	NA	ME			
STOCK HELD OR A	CQUIRED BY		SPOUSE	DEPENDENT CHIL	D		
NUMBER OF SHAR	ES	LESS THAN 100	☐ 100 TO 499 ☒ 10,000 OR MORE	☐ 500 TO 999	1,000	ГО 4,999	
IF SOLD	☐ NET GAIN ☑ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	፟ \$25,00	0OR MC	RE
BUSINESS ENTITY		Fannie Mae	AA	ME			
STOCK HELD OR A	CQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D		
NUMBER OF SHAR	ES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☒ 10,000 OR MORE	☐ 500 TO 999	1,000	TO 4,999	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	0-OR MC	RE
BUSINESS ENTITY		Freddie Mac	NA	ME			
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D		
NUMBER OF SHAR	ES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☑ 10,000 OR MORE	☐ 500 TO 999	1,000	TO 4,999	
IF SOLD	☐ NET GAIN ☑ NET LOSS	LESS THAN \$5,000	<b>55,000 - \$9,999</b>	\$10,000 - \$24,999	X \$25,00	00-OR MC	DRE
BUSINESS ENTITY		National City Corporat		ME .			
STOCK HELD OR A	CQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D		
NUMBER OF SHAR	RES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☒ 1,000	TO 4,999	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	00OR MC	ORE

***************************************	P.O. Box 120	Austin, Texas 78	7711-2070	(512)463-	3000	1-800-32	3-030
STOCK					]	PART	2
☐ NOT APPLICAB	LE						
and indicate the category of the amour INSTRUCTION GUID  When reporting inform	gory of the numbe nt of the net gain E. nation about a de	your spouse, or a depe or of shares held or acq or loss realized from the pendent child's activity, child is listed on the Co	uired. If some or all e sale. For more in indicate the child a	of the stock was sold formation, see FORM	l, also indica PFS	ar year ate the	
<sup>1</sup> BUSINESS ENTITY	(	Ford	NA	ME			
2 STOCK HELD OR A	ACQUIRED BY	FILER	SPOUSE	☑ DEPENDENT CHIL	D 1		
<sup>3</sup> NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>✓ 100 TO 499</li><li>✓ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO	4,999	
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000	-OR MO	RE
BUSINESS ENTITY	(	Valero Energy CP	N.A.	AME			
STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D		
NUMBER OF SHAP	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 T	O 4,999	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000	OR MO	RE
	CORY	ND ATTACH ADDITI	ONAL DACES AS	NECECCADY			

Texas Ethics Commission P.O.	Box 12070 Austin, Texas 78	3711-2070	(512)463-5800	1-800-32	.5-8506
BONDS, NOTES &	OTHER COMMER	CIAL PAPE	R	PART	3
☐ NOT APPLICABLE					
List all bonds, notes and other calendar year. If sold, indicate tinformation, see FORM PFSIN	the category of the amount of ISTRUCTION GUIDE	the net gain or loss	realized from the sale. For me	ore	
providing the number under whi	ch the child is listed on the Co	over Sheet.			
1 DESCRIPTION OF INSTRUMENT	Schwab Money Market Fund	I			
<sup>2</sup> HELD OR ACQUIRED BY					
	☐ FILER	X SPOUSE	DEPENDENT CHILD		
<sup>3</sup> IF SOLD					
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,	000OR MO	RE
DESCRIPTION OF INSTRUMENT	Dallas ISD Municipal Bond				
OF INCTIONIENT					
HELD OR ACQUIRED BY		71			
	☑ FILER	SPOUSE	DEPENDENT CHILD		
IF SOLD					
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	<b>55,000 - \$9,999</b>	\$10,000 - \$24,999 \$25,	,000OR MC	RE
DESCRIPTION OF INSTRUMENT	Gilry USD COPS Municipal	Bond			
HELD OR ACQUIRED BY					
	☑ FILER	SPOUSE	DEPENDENT CHILD	<b>-</b>	

☐ NET GAIN

Texas Ethics Commission P.O.	Box 12070 Austin, Texas	78711-2070	(512)463-5800	1-800-325-850
BONDS, NOTES &				PART 3
☐ NOT APPLICABLE				
List all bonds, notes and other calendar year. If sold, indicate to information, see FORM PFS-IN When reporting information about providing the number under white	the category of the amount on the category of the amount of the category of th	of the net gain or loss ory, indicate the child a	realized from the sale. For m	ore
<sup>1</sup> DESCRIPTION OF INSTRUMENT	CA ST GO-1 Municipal Bor	nd		
<sup>2</sup> HELD OR ACQUIRED BY				
	☑ FILER	SPOUSE	DEPENDENT CHILD	
<sup>3</sup> IF SOLD				
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,	,000OR MORE
DESCRIPTION OF INSTRUMENT	TX St TPK AU-A Municipal	Bond		
HELD OR ACQUIRED BY				-
	X FILER	SPOUSE	DEPENDENT CHILD	-
IF SOLD				
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25	,000OR MORE
DESCRIPTION OF INSTRUMENT	Menlo Pk CSD Municipal E	3ond		
HELD OR ACQUIRED BY				
	⊠ FILER	SPOUSE	DEPENDENT CHILD	<b>-</b> 
IF SOLD				

☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000—OR MORE

□ NET GAIN

Texas Ethics Commission P.O.	Box 12070 Austin, Texas 7	8711-2070	(512)463-5800	1-800-32	5-8506
BONDS, NOTES &	OTHER COMMER	CIAL PAPE	R	PART	3
☐ NOT APPLICABLE					
List all bonds, notes and other concalendar year. If sold, indicate the information, see FORM PFSIN When reporting information about providing the number under which	he category of the amount of STRUCTION GUIDE ut a dependent child's activity	the net gain or loss , indicate the child a	realized from the sale. For I	more	
<sup>1</sup> DESCRIPTION OF INSTRUMENT	LA Arpts-C Municipal Bond				
<sup>2</sup> HELD OR ACQUIRED BY	⊠ FILER	SPOUSE	DEPENDENT CHILD	_	.,
3 IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999 \$2	25,000OR MO	RE
DESCRIPTION OF INSTRUMENT	Golden ST TOB-A Municipa	l Bond			
HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHILD		
IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$2	25,000OR MC	PRE
DESCRIPTION OF INSTRUMENT	Hanford UHSD Municipal Bo	ond			
HELD OR ACQUIRED BY	⊠ FILER	SPOUSE	X DEPENDENT CHILD 1		

IF SOLD

☐ NET GAIN

Texas Etnics Commission P.O. Box	12070 Austin, Texas 78	711-2070	(512)463-5	1-800-325-8506					
MUTUAL FUNDS				PART 4					
☐ NOT APPLICABLE									
List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE  When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.									
<sup>1</sup> MUTUAL FUND	Pioneer Mid Cap Value (C	NAM Class B)	1E						
<sup>2</sup> SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHILI	<b>)</b>					
3 NUMBER OF SHARES OF MUTUAL FUND	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☑ 500 TO 999	☐ 1,000 TO 4,999					
4 IF SOLD ☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE					
MUTUAL FUND	Pioneer Mid Cap Value (C	NAM ClassA)	ΛE						
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILI	D					
NUMBER OF SHARES OF MUTUAL FUND	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	∑ 500 TO 999	☐ 1,000 TO 4,999					
IF SOLD NET GAIN NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE					
MUTUAL FUND	American Funds:Investme	NAM ent Company A	ME						
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D					
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	· <del></del>	1,000 TO 4,999					
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE					
COD	Y AND ATTACH ADDITION	ONAL DAGES :	NEOCOCCO						

Texas Ethics Commission	P.O. Box 1	12070 Austin, Texas 78	711-2070	(512)463-5	800	1-800-325-8506		
MUTUAL FUND	S					PART 4		
☐ NOT APPLICABLE								
List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE  When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.								
<sup>1</sup> MUTUAL FUND		Van Kampen Mid Cap Gro	owth Fund A	ΜE				
<sup>2</sup> SHARES OF MUTUAL HELD OR ACQUIRED		X FILER	SPOUSE	DEPENDENT CHILI	) <u> </u>			
3 NUMBER OF SHARES OF MUTUAL FUND		LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	∑ 500 TO 999	☐ 1,000 T	O 4,999		
4 IF SOLD NET GA		LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	0-OR MORE		
MUTUAL FUND		Van Kampen Mid Cap Gr	NAN owth Fund B	AE.				
SHARES OF MUTUAL HELD OR ACQUIRED		⊠ FILER	SPOUSE	DEPENDENT CHILI	D			
NUMBER OF SHARES OF MUTUAL FUND	3	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☑ 500 TO 999	1,000	ГО 4,999		
IF SOLD ☐ NET GA	- 1	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	0OR MORE		
MUTUAL FUND		Davis NY Venture Fund E	NAI 3	ME				
SHARES OF MUTUAL HELD OR ACQUIRED		X FILER	SPOUSE	DEPENDENT CHIL	D			
NUMBER OF SHARES OF MUTUAL FUND	3	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	∑ 500 TO 999	1,000	ГО 4,999		
IF SOLD NET G.	l	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	00-OR MORE		
	COPY	AND ATTACH ADDITI	ONAL BACES AS	NECESSARY				

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MUTUAL FUNDS	S				PART	4	
☐ NOT APPLICABLE							
List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE  When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.							
<sup>1</sup> MUTUAL FUND		Davis NY Venture Fund A	NA	ME			
<sup>2</sup> SHARES OF MUTUAL F HELD OR ACQUIRED E		X FILER	SPOUSE	DEPENDENT CHILI	D		
3 NUMBER OF SHARES OF MUTUAL FUND		LESS THAN 100	100 TO 499 10,000 OR MORE	X 500 TO 999 ∷	1,000 TO 4,999		
4 IF SOLD NET GAI		LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MO	RE	
MUTUAL FUND		MFS Total Return Fund C	NA: Class B	ME			
SHARES OF MUTUAL I HELD OR ACQUIRED E		X FILER	SPOUSE	DEPENDENT CHIL	D		
NUMBER OF SHARES OF MUTUAL FUND		LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999		
IF SOLD ☐ NET GA☐ NET LOS		LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MO	RE	
MUTUAL FUND		MFS Total Return Fund (		ME			
SHARES OF MUTUAL HELD OR ACQUIRED E		X FILER	SPOUSE	DEPENDENT CHIL	.D		
NUMBER OF SHARES OF MUTUAL FUND		☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	□ 500 TO 999	X 1,000 TO 4,999		
IF SOLD ☐ NET GA☐ NET LO	1	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MC	DRE	
	COPY	' AND ATTACH ADDITI	ONAL PAGES AS	NECESSARY			

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MUTUAL FUNDS	3				PART	4
☐ NOT APPLICABLE						
List each mutual fund and to acquired during the calendar some or all of the shares of from the sale. For more info When reporting information providing the number under	ar year and in a mutual fun formation, see about a depo	idicate the category of id were sold, also indic e FORM PFSINSTRI endent child's activity,	f the number of shar cate the category of UCTION GUIDE indicate the child at	es of mutual funds he the amount of the net	eld or acquired. If t gain or loss reali:	zed
1 MUTUAL FUND	Ор	penheimer Value Class	NAN S A	ME		
<sup>2</sup> SHARES OF MUTUAL F HELD OR ACQUIRED B		X FILER	SPOUSE	DEPENDENT CHILI	D	
3 NUMBER OF SHARES OF MUTUAL FUND		☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	∑ 500 TO 999	1,000 TO 4,999	
4 IF SOLD NET GAIR	1	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 <b>-</b> \$24,999	\$25,000OR MO	ORE
MUTUAL FUND	Ор	ppenheimer Value Clas	NAM SB	ΛΕ		
SHARES OF MUTUAL F HELD OR ACQUIRED B		X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES OF MUTUAL FUND		LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	X 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD  ☑ NET GAII ☐ NET LOS			\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	☐ \$25,000-OR M	ORE
MUTUAL FUND	All	iance Bernstein Small	NAI	ME		
SHARES OF MUTUAL F HELD OR ACQUIRED B		X FILER	SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES OF MUTUAL FUND		LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE		1,000 TO 4,999	
IF SOLD ☐ NET GAI ☐ NET LOS	1	LESS THAN \$5,000	<b>55,000 - \$9,999</b>	\$10,000 - \$24,999	☐ \$25,000-OR M	ORE
	COPY AN	ID ATTACH ADDITI	ONAL PAGES AS	NECESSARY		

Texas Ethics Commission	P.O. Box	12070 Austin, Texas 78	711-2070	(512)463-5	5800 1-8	300-325-8506	
MUTUAL FUNDS	<b>S</b>				************	ART 4	
☐ NOT APPLICABLE							
List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE  When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.							
1 MUTUAL FUND		BlackRock Mid Cap	NAN	1E			
<sup>2</sup> SHARES OF MUTUAL FU HELD OR ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILI	o		
3 NUMBER OF SHARES OF MUTUAL FUND		☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4	.,999	
4 IF SOLD NET GAIN		LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,0000	R MORE	
MUTUAL FUND		Franklin Sm-Mid Cap Gr	NAN	AE .			
SHARES OF MUTUAL FI HELD OR ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHIL	D		
NUMBER OF SHARES OF MUTUAL FUND		LESS THAN 100	X 100 TO 499  ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4	1,999	
IF SOLD ☐ NET GAIN ☐ NET LOSS		LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,0000	OR MORE	
MUTUAL FUND		Nuveen Large Cap Value	NA	ME			
SHARES OF MUTUAL F HELD OR ACQUIRED BY		X FILER	SPOUSE	☐ DEPENDENT CHIL	D		
NUMBER OF SHARES OF MUTUAL FUND		LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE		□ 1,000 то	1,999	
IF SOLD NET GAIN		LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,0000	OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY							

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MUTUAL FUNDS	S					PART	4
☐ NOT APPLICABLE							
List each mutual fund and acquired during the calend some or all of the shares of from the sale. For more in When reporting information providing the number under	lar year and i of a mutual fu oformation, se n about a de	indicate the category on the category on the case of t	f the number of sha cate the category of UCTION GUIDE , indicate the child a	res of mutual funds he f the amount of the ne	eld or acqu et gain or lo	uired. If oss realiz	ed
<sup>1</sup> MUTUAL FUND	P	utnam Vista Fund	NA	ME			
<sup>2</sup> SHARES OF MUTUAL F HELD OR ACQUIRED E	FUND 3Y	X FILER	SPOUSE	DEPENDENT CHIL	D		
3 NUMBER OF SHARES OF MUTUAL FUND		☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☒ 1,000	TO 4,999	
4 IF SOLD NET GAI	1	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	00OR MO	RE
	COBY A	ND ATTACH ADDIT	IONAL DAGES AS	NECECOARY			

Texas Ethics Commission P.O.	Box 12070	Austin, Texas 78	3711-2070	(512)463-5800	1-800-325-8506
INCOME FROM INT					PART 5
☐ NOT APPLICABLE	·		•		
List each source of income you, interest, dividends, royalties and more information, see FORM PF	I rents during to	he calendar yea ΓΙΟΝ GUIDE.	ar and indicate the c	ategory of the amount of the i	ncome. For
When reporting information about providing the number under which				bout whom you are reporting t	эу
<sup>1</sup> SOURCE OF INCOME	Reach Media		NAME AND ADD	DRESS	
	11760 Noel   Dallas, TX	_			
<sup>2</sup> RECEIVED BY	X FIL	ER	SPOUSE	DEPENDENT CHILD	
<sup>3</sup> AMOUNT	\$50	00 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 🔀 \$25,0	000-OR MORE
SOURCE OF INCOME			NAME AND AD	DRESS	
	Merrill Lynch	1			
	2100 Ross A Dallas, TX	∖ve	-		
					·
RECEIVED BY	⊠ FIL	ER	SPOUSE	DEPENDENT CHILD	
AMOUNT	□ \$50	00 - \$4,999	∑ \$5,000 - \$9,999	\$10,000 - \$24,999 S25,	,000-OR MORE

Texas Ethics Commission P.O. I	Box 12070 Austin. Texa	s 78711-2070	(512)/62 5000	4 900 905 9500					
INCOME FROM INT			(512)463-5800 TIFS & RFNTS	1-800-325-8506 PART <b>5</b>					
☐ NOT APPLICABLE		,							
List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS—INSTRUCTION GUIDE.  When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.									
1 SOURCE OF INCOME	on the child is listed on the	NAME AND ADI	DRESS						
· SOURCE OF INCOME	American National Bank								
	P.O. Box 223809 Dallas, TX 75222								
<sup>2</sup> RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD	_					
<sup>3</sup> AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$2	5,000OR MORE					
SOURCE OF INCOME		NAME AND AD	DRESS						
	Bank of America								
	400 So Zang Blvd Dallas, TX 75208								
RECEIVED BY	⊠ FILER	SPOUSE	DEPENDENT CHILD	_					
AMOUNT	区 \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$2	5,000OR MORE					
SOURCE OF INCOME		NAME AND AD	DRESS						
	Diana Shipping Inc								

AWOON	፟፟፟፟፟፟፟፟፟ \$500 - \$4,999	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE
SOURCE OF INCOME	Diana Shipping Inc Pendelis 16 175 64 Palaio Faliro Athens, Greece,	NAME AND AD	DORESS
RECEIVED BY	⊠ FILER	SPOUSE	DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999	□ \$5.000 - \$9.999	☐ \$10.000 - \$24.999 ☐ \$25.000OR MORE

Texas Ethics Commission	P.O. Box 12070	Austin, Texas	78711-2070	(512)463-5800	1-800-325-8506
INCOME FROM	INTEREST,	DIVIDEN	IDS, ROYAL	TIES & RENTS	PART 5
☐ NOT APPLICABLE					
List each source of income interest, dividends, royaltion more information, see FO	es and rents during	the calendar ye	t child received in exert and indicate the o	ccess of \$500 that was derived category of the amount of the i	from ncome. For
When reporting information providing the number under	n about a depender er which the child is	nt child's activit listed on the C	y, indicate the child a over Sheet.	about whom you are reporting	by
<sup>1</sup> SOURCE OF INCOME	Golden Stat	e TOB-A Munic	NAME AND AD	DRESS	
<sup>2</sup> RECEIVED BY	X FIL	.ER	SPOUSE	DEPENDENT CHILD	
<sup>3</sup> AMOUNT	☒ \$5	00 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,	000OR MORE
	CODY AND AZ	TACU ADDI	TONAL BACES AS	NEGEGGARY	

Texas Ethics Commission P.O. Box	x 12070 Austin, Texas	78711-2070	(512)463-5800	1-800-325-850
PERSONAL NOTES	AND LEASE AG	REEMENTS		PART 6
☐ NOT APPLICABLE				
Identify each guarantor of a loan area dependent child had a total finance agreement at any time during the cition, see FORM PFS—INSTRUCTION When reporting information about a providing the number under which	cial liability of more than \$ alendar year and indicate ON GUIDE a dependent child's activit	\$1,000 in the form of the category of the ty, indicate the child a	a personal note or notes or leading amount of the liability. For m	ore informa-
1 PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Dallas National Bank (N	lote)		
<sup>2</sup> LIABILITY OF	☑ FILER	SPOUSE	DEPENDENT CHILD	
<sup>3</sup> GUARANTOR				
<sup>4</sup> AMOUNT	<b>\$1,000 - \$4,999</b>	\$5,000 - \$9,999	\$10,000 - \$24,999 X \$2	5,000OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Wells Fargo (Vehicle)			
LIABILITY OF	☑ FILER	SPOUSE	DEPENDENT CHILD	
GUARANTOR				
AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 X \$2	5,000OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	South Dallas Developm	ent Fund		
LIABILITY OF	X FILER	SPOUSE	DEPENDENT CHILD	
GUARANTOR				

# **AMOUNT 1** \$1,000 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 X \$25,000-OR MORE COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY TX-PFS Software Version 1.0.8

		s 78711-2070	(512)463		0-325-8506
INTERESTS IN REAL	. PROPERTY			PART	7 <b>A</b>
☐ NOT APPLICABLE					
Describe all beneficial interests in calendar year. If the interest was seen an explanation of 'beneficial interest in INSTRUCTION GUIDE.	sold, also indicate the ca terest' and other specific	ategory of the amour c directions for comp	nt of the net gain or loss leting this section, see I	realized from the FORM PFS	e sale.
When reporting information about providing the number under which	a dependent child's active the child is listed on the	vity, indicate the child Cover Sheet.	d about whom you are r	eporting by	
<sup>1</sup> HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	LD	
2 STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	320 S.R.L. Thornton for Dallas, TX 75203 Dallas		ING CITY, COUNTY AND STATE		
DESCRIPTION  ☑ LOTS ☐ ACRES	NUME 1 lot Dallas County	EER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	TED	
NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)					
F IF SOLD    NET GAIN   NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	☐ \$25,000-OR	MORE
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHI	LD	
STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	1537 Pleasant Run Desoto, TX Dallas County	STREET ADDRESS, INCLUE	DING CITY, COUNTY AND STATE		
DESCRIPTION ☐ LOTS ☑ ACRES	ымы 5 acres Dallas County	BER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	NTED	
NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)					
IF SOLD ☐ NET GAIN	LESS THAN \$5 000	☐ \$5 nnn - \$9 gaa	☐ \$10 000 - \$24 999	T \$25,000,00	MODE

Texas Ethics Commission P.O. Bo		(512)463-5800 1-800-325-8506
INTERESTS IN REAL	PROPERTY	PART <b>7A</b>
☐ NOT APPLICABLE		
calendar year. If the interest was	eal property held or acquired by you, you old, also indicate the category of the amo erest' and other specific directions for cor	r spouse, or a dependent child during the punt of the net gain or loss realized from the sale.  Impleting this section, see FORM PFS
When reporting information about providing the number under which	dependent child's activity, indicate the che child is listed on the Cover Sheet.	hild about whom you are reporting by
<sup>1</sup> HELD OR ACQUIRED BY	X FILER ☐ SPOUSE	DEPENDENT CHILD
2 STREET ADDRESS  NOT AVAILABLE  CHECK IF FILER'S HOME ADDRESS	STREET ADDRESS, INCL	LUDING CITY, COUNTY AND STATE
3 DESCRIPTION  ☑ LOTS ☐ ACRES	NUMBER OF LOTS OR ACRES A  1 lot Dallas County	ND NAME OF COUNTY WHERE LOCATED
4 NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)		
5 IF SOLD  NET GAIN NET LOSS	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,99	9 🔲 \$10,000 - \$24,999 🔲 \$25,000–OR MORE
HELD OR ACQUIRED BY	X FILER ☐ SPOUSE	DEPENDENT CHILD
STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	STREET ADDRESS, INCI 7318 Oakmore Dallas, TX Dallas County	LUDING CITY, COUNTY AND STATE
DESCRIPTION    Lots   Acres	NUMBER OF LOTS OR ACRES A  1 lot Dallas County	AND NAME OF COUNTY WHERE LOCATED
NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)		

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

IF SOLD

☐ NET GAIN

☐ NET LOSS

☐ \$25,000--OR MORE

**\$10,000 - \$24,999** 

Texas Ethics Commission P.O.	Box 12070 Austin, Texas 78	3711-2070	(512)463-5800	1-800-325-8506
INTERESTS IN BUS	SINESS ENTITIES			PART 7B
☐ NOT APPLICABLE				
Describe all beneficial interests i calendar year. If the interest wa For an explanation of 'beneficial INSTRUCTION GUIDE When reporting information abou	s sold, also indicate the categ interest' and other specific di	ory of the amount or rections for completi	of the net gain or loss realized ing this section, see FORM P	I from the sale. FS
providing the number under which	ch the child is listed on the Co	ver Sheet.	bodt whom you are reporting	Бу
<sup>1</sup> HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
<sup>2</sup> DESCRIPTION	West & Gooden, P.C	NAME AND A	ADDRESS Home Address)	
	320 S.R.L. Thornton Suite 300 Dallas, TX 75203			
<sup>3</sup> IF SOLD ☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25	i,000OR MORE
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
DESCRIPTION		NAME AND	ADDRESS Home Address)	
	Reach Media, Inc	<b>—</b> ·		
	13760 Noel Dallas, TX 75240			
IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	☐ \$10,000 - \$24,999 ☐ \$25	5,000OR MORE
HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHILD	_
DESCRIPTION	Skyview Development LLC 320 S.R.L. Thornton Dallas, TX 75203	NAME AND ☐ (check if Filer's	ADDRESS Home Address)	
IF SOLD  ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 S25	5,000-OR MORE

Texas Ethics Commission P.O. I	Box 12070 Austin, Tex	kas 78711-2070	(512)463-5800	1-800-325-8506
INTERESTS IN BUS	INESS ENTITIE	ES		PART 7B
☐ NOT APPLICABLE				
Describe all beneficial interests in calendar year. If the interest was For an explanation of beneficial INSTRUCTION GUIDE	s sold, also indicate the ointerest' and other specif	category of the amount of the comples of the complex of the comple	of the net gain or loss realized ting this section, see FORM F	d from the sale. PFS
When reporting information abou providing the number under which			about whom you are reporting	g by
1 HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
<sup>2</sup> DESCRIPTION	Radio One 5900 Princess Garden F 7th Floor Lanham, MD		ADDRESS s Home Address)	
2				
<sup>3</sup> IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,	,000 🔲 \$5,000 - \$9,999	□ \$10,000 - \$24,999 □ \$2	5,000OR MORE
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
DESCRIPTION	West & Associates LLP 320 S.R.L. Thornton Fre Suite 300 Dallas, TX 75203	(check if Filer	DADDRESS s Home Address)	
IF SOLD ☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5	,000	☐ \$10,000 <i>-</i> \$24,999 ☐ \$2	5,000OR MORE
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
DESCRIPTION	Royce West & Associat 320 S.R.L. Thornton Fr Suite 300 Dallas, TX 75203	☐ (check if Filer	D ADDRESS 's Home Address)	
IF SOLD ☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5	5,000	☐ \$10,000 - \$24,999 ☐ \$2	25,000OR MORE

Texas Ethics Commission	P.O. Box 12070	Austin, Texas 7	78711-2070		(512)463-58	00 1-800-325-8506
ASSETS OF B	USINESS AS	SOCIATIO	ONS			PART 11A
☐ NOT APPLICABLE						
Describe all assets of eacorporation, professional dent child held, acquired of the assets. For more When reporting informat providing the number un	I association, joint ve I, or sold 50 percent information, see FO ion about a depende	enture, or other be or more of the o RM PFSINSTF ent child's activity	ousiness associ utstanding own RUCTION GUID , indicate the c	iation ership DE.	in which you, your spout and indicate the categ	se, or a depen- ory of the amount
1 BUSINESS ASSOCIATION	Skyview Developme		ND ADDRESS		(Check if Filer's Home Address)	
	320 S.R.L. Thornton Dallas, TX 75203	n Freeway				
<sup>2</sup> BUSINESS TYPE	Real Estate Develop	oment: Limited La	bility Company			
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	⊠ F	ILER	SPOUSE		DEPENDENT CHILD	
<sup>4</sup> ASSETS	Building	DESCRIPTION			CATE LESS THAN \$5,000 S10,000 - \$24,999	EGORY
	l	• • • • • • • • • •			·	• • • • • • • • • • • • • • • • • • • •
	COPY AND A	TTACH ADDIT	IONAL PAGE	S AS	NECESSARY	

Texas Ethics Commission	P.O. Box 12070	Austin, Texas	78711-2070	(512)463-5800	1-800-325-8506
ASSETS OF B	USINESS AS	SOCIATI	ONS		PART 11A
☐ NOT APPLICABLE					
corporation, professiona dent child held, acquired of the assets. For more When reporting informat providing the number un	I association, joint ve I, or sold 50 percent information, see FOI ion about a depende	enture, or other loor more of the continuous of	business associa outstanding owne RUCTION GUIDE y, indicate the ch cover Sheet.	ild about whom you are repor	e, or a depen- y of the amount
<sup>1</sup> BUSINESS ASSOCIATION	West & Associates L	.LP	AND ADDRESS	(Check if Filer's Home Address)	
	320 S.R.L. Thornton Dallas, TX 75203	Freeway			
<sup>2</sup> BUSINESS TYPE	Limited Lability Parti	nership			
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	<u>⊠</u> F	ILER	SPOUSE	DEPENDENT CHILD	
<sup>4</sup> ASSETS	Property/Equipment	DESCRIPTION		l	ORY ] \$5,000 - \$9,999 ] \$25,000—OR MORE
					• • • • • • • • • • • • • • • • • • • •
	Office Furniture			I	\$5,000 - \$9,999 \$25,000OR MORE
				• • • • • • • • • • • • • • • • • • • •	
	Computer Equipmen	nt			\$5,000 - \$9,999
	<b> </b>			\$10,000 - \$24,999	\$25,000OR MORE
	COPY AND A	TTACH ADDI	TIONAL PAGES	S AS NECESSARY	

Texas Ethics Commission	P.O. Box 12070	Austin, Texas 78711-20	70	(512)463-580	00 1-800-325-8506
ASSETS OF B	USINESS ASS	OCIATIONS			PART 11A
☐ NOT APPLICABLE					
corporation, professional dent child held, acquired of the assets. For more	l association, joint vent , or sold 50 percent or information, see FORM ion about a dependent	ure, or other busines: more of the outstand I PFSINSTRUCTIO child's activity, indica	s association ing ownersh N GUIDE. ite the child	ited liability partnership, p n in which you, your spou ip and indicate the catego about whom you are repo	se, or a depen- ory of the amount
1 BUSINESS ASSOCIATION	West & Gooden P.C.	NAME AND ADDR	ESS	(Check if Filer's Home Address)	
	320 S.R.L. Thornton Fr Dallas, TX 75203	reeway			
<sup>2</sup> BUSINESS TYPE	Professional Corporation	on			
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	X FILE	R ☐ SF	POUSE	DEPENDENT CHILD	
<sup>4</sup> ASSETS		DESCRIPTION		CATE	GORY
	Property/Equipment			X LESS THAN \$5,000	\$5,000 - \$9,999
				1 \$10,000 - \$24,999	☐ \$25,000OR MORE
				·	
	COPY AND ATT	ACH ADDITIONAL	PAGES AS	S NECESSARY	

Texas Ethics Commission	P.O. Box 12070	Austin, Texas 7	8711-2070	(512)463-580	0 1-80	0-325-8506
ASSETS OF B	USINESS AS	SOCIATIO	ONS	·· -	PART	11A
☐ NOT APPLICABLE						
corporation, professiona dent child held, acquired of the assets. For more When reporting informat providing the number un	Il association, joint vent, or sold 50 percent information, see FO tion about a depende	enture, or other b or more of the ou RM PFSINSTR ent child's activity s listed on the Co	usiness association utstanding ownersh UCTION GUIDE. , indicate the child over Sheet.	ited liability partnership, pr n in which you, your spous ip and indicate the catego about whom you are repo	se, or a depe ery of the am	en- nount
<sup>1</sup> BUSINESS ASSOCIATION	Royce West & Asso	ciates P.C.	ID ADDRESS	(Check if Filer's Home Address)		
	320 S.R.L. Thornton Suite 300 Dallas, TX 75203	ı Freeway				
<sup>2</sup> BUSINESS TYPE	Professional Corpor	ation				
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	⊠ F	ILER	SPOUSE	DEPENDENT CHILD		
<sup>4</sup> ASSETS	No Assets	DESCRIPTION		CATE	GORY	
	1107.000.0			☐ X LESS THAN \$5,000	\$5,000 - \$	9,999
				\$10,000 - \$24,999	\$25,000-	OR MORE
	-					
	COPY AND A	TTACH ADDIT	ONAL PAGES A	S NECESSARY	-	

BUSINESS TYPE  B HELD, ACQUIRED, OR SOLD BY  LIABILITIES	ach coporation, firm, association, joint ven or sold 50 percent or information, see FO n about a dependent which the child is I Skyview Development 320 S.R.L. Thornton FO Dallas, TX 75203  Limited Lability Compa	partners ture, or more of RM PFS t child's listed or t LLC reeway	ship, limited partnersh other business assoc of the outstanding own SINSTRUCTION GU activity, indicate the on the Cover Sheet.	iation in watership and JIDE. Child about	hich you, your spou d indicate the category	, professionause, or a deport of the an	en-
Describe all liabilities of ear corporation, professional at dent child held, acquired, o of the liabilities. For more it When reporting information providing the number under BUSINESS ASSOCIATION  BUSINESS TYPE  BUSINESS TYPE  BUSINESS TYPE  LABILITIES	association, joint ven or sold 50 percent or information, see FO n about a dependent er which the child is I Skyview Development 320 S.R.L. Thornton FO Dallas, TX 75203  Limited Lability Company FILE	ture, or more of RM PFS to child's listed or the LLC Freeway	other business associated the outstanding own SINSTRUCTION GL activity, indicate the control of the Cover Sheet.  NAME AND ADDRESS	iation in watership and JIDE. Child about	hich you, your spou d indicate the catego whom you are repo	use, or a dep ory of the an	en-
corporation, professional as dent child held, acquired, of the liabilities. For more in When reporting information providing the number under BUSINESS ASSOCIATION  BUSINESS TYPE  BUSINESS TYPE  BHELD, ACQUIRED, OR SOLD BY	association, joint ven or sold 50 percent or information, see FO n about a dependent er which the child is I Skyview Development 320 S.R.L. Thornton FO Dallas, TX 75203  Limited Lability Company FILE	ture, or more of RM PFS to child's listed or the LLC Freeway	other business associated the outstanding own SINSTRUCTION GL activity, indicate the control of the Cover Sheet.  NAME AND ADDRESS	iation in watership and JIDE. Child about	hich you, your spou d indicate the catego whom you are repo	use, or a dep ory of the an	en-
ASSOCIATION  3 D  2 BUSINESS TYPE  4 HELD, ACQUIRED, OR SOLD BY	320 S.R.L. Thornton F Dallas, TX 75203 Limited Lability Compa	t LLC Freeway any ER			k if Filer's Home Address)		
BUSINESS TYPE  HELD, ACQUIRED, OR SOLD BY	Dallas, TX 75203 Limited Lability Compa 区 FILI	any					
HELD, ACQUIRED, OR SOLD BY	⊠ FILI	ER	SPOUSE				
OR SOLD BY			SPOUSE				
1 LIABILITIES		DESCRIP			DEPENDENT CHILD		
		DESCRIPTION Debt			CATE	EGORY	<del> </del>
					LESS THAN \$5,000	\$5,000 - \$	9,999
				<u> </u>	\$10,000 - \$24,999	\$25,000	OR MORE
. Т	Tenant Deposits						
				İ	LESS THAN \$5,000	\$5,000 - \$	9,999
1.				ΙX	\$10,000 - \$24,999	\$25,000	OR MORE
	•						

Texas Ethics Commission	P.O. Box 12070	Austin, Texas	78711-2070	(512)463-58	00 1-800-325-8506
LIABILITIES O	F BUSINESS	ASSOCI	ATIONS		PART 11B
☐ NOT APPLICABLE					
dent child held, acquired of the liabilities. For mor	I association, joint ver , or sold 50 percent o re information, see FC ion about a depender	nture, or other r more of the c PRM PFSINS nt child's activit	business associ outstanding own TRUCTION GU v. indicate the c	ip, limited liability partnership ation in which you, your spou ership and indicate the categ IDE. hild about whom you are repo	se, or a depen- ory of the amount
<sup>1</sup> BUSINESS ASSOCIATION	West & Associates LI		AND ADDRESS	(Check if Filer's Home Address)	
	320 S.R.L. Thornton Suite 300 Dallas, TX 75203				
<sup>2</sup> BUSINESS TYPE	Limited Lability Partne	ership			
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	⊠ FIL	.ER	SPOUSE	DEPENDENT CHILD	
<sup>4</sup> LIABILITIES	Client Trust Acct	DESCRIPTION		LESS THAN \$5,000	GORY \$5,000 - \$9,999
				\$10,000 - \$24,999	X \$25,000OR MORE
	COPY AND AT	TACH ADDIT	IONAL PAGES	S AS NECESSARY	

Texas Ethics Commission P.O.	. Box 12070	Austin, Texas 7	8711-2070	(512)463-5800	1-800-325-8506
BOARDS AND EXE	CUTIVE	POSITIO	NS		PART 12
☐ NOT APPLICABLE					
your spouse, or a dependent che ships, professional corporations stating the name of the organizations.	nild hold in corp s, professional ation and the p out a depender	porations, firms associations, jo position held. F nt child's activity	, partnerships, li pint ventures, oth or more informa r, indicate the ch	re a member and all executive po mited partnerships, limited liabilit her business associations, or pro tion, see FORM PFSINSTRUC hild about whom you are reporting	y partner- prietorships, TION GUIDE.
<sup>1</sup> ORGANIZATION	West & Good				
<sup>2</sup> POSTITION HELD	President				
<sup>3</sup> POSITION HELD BY	X FII	LER	SPOUSE	DEPENDENT CHILD	_
ORGANIZATION	Tom Joyner I	Foundation, Inc			
POSTITION HELD	Secretary				
POSITION HELD BY	X FII	LER	SPOUSE	DEPENDENT CHILD	_
ORGANIZATION	Skyview Dev	elopment LLC			
POSTITION HELD	President				
POSITION HELD BY	⊠ FII	LER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	Reach Media	a Inc			

Texas Ethics Commission P.C	). Box 12070	Austin, Texas 78	3711-2070	(512)463-5800	1-800-325-8506
<b>BOARDS AND EX</b>	ECUTIVE	POSITIO	NS		PART 12
☐ NOT APPLICABLE					;
List all boards of directors of w your spouse, or a dependent c ships, professional corporation stating the name of the organiz When reporting information ab providing the number under whether the state of the sta	hild hold in corp s, professional cation and the p out a depender	porations, firms, associations, jo position held. For the child's activity	partnerships, limi int ventures, other or more informatio , indicate the child	ted partnerships, limited liability r business associations, or prop n, see FORM PFSINSTRUC <sup>-</sup>	/ partner- prietorships, ΓΙΟΝ GUIDE.
<sup>1</sup> ORGANIZATION	T	\$ Associates, PC			
<sup>2</sup> POSTITION HELD	President				
<sup>3</sup> POSITION HELD BY	⊠ Fil	ER	SPOUSE	DEPENDENT CHILD	_
ORGANIZATION	Circle Ten Co	ouncil of Boy Sco	uts of America		
POSTITION HELD	Vice Preside	nt Scout Reach			
POSITION HELD BY	⊠ FII	LER	SPOUSE	DEPENDENT CHILD	_
ORGANIZATION	Black Americ	a Web Relief Fu	nd		
POSTITION HELD	Secretary				
POSITION HELD BY	⊠ FII	LER	SPOUSE	DEPENDENT CHILD	_
ORGANIZATION	Education is	Freedom			
POSTITION HELD	Board Memb	er			
POSITION HELD BY	⊠ FI	LER	SPOUSE	DEPENDENT CHILD	_

#### Texas Ethics Commission P.O. Box 12070 Austin, Texas 78711-2070 (512)463-5800 FEES RECEIVED FOR SERVICES RENDERED **PART 15** TO A LOBBYIST OR LOBBYIST'S EMPLOYER ☐ NOT APPLICABLE Report any fee you received for providing services to or on behalf of a person required to be registered as a lobbyist under chapter 305 of the Government Code, or for providing services to or on behalf of a person you actually know directly compensates or reimburses a person required to be registered as a lobbyist. Report the name of each person or entity for which the services were provided, and indicate the category of the amount of each fee. For more information, see FORM PFS--INSTRUCTION GUIDE. <sup>1</sup> PERSON OR ENTITY Texas Instruments FOR WHOM SERVICES WERE PROVIDED <sup>2</sup> FEE CATEGORY ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE PERSON OR ENTITY Countrywide Financial Corporation FOR WHOM SERVICES WERE PROVIDED

Linebarger, Goggan Blair & Sampson

Wells Fargo Bank NA

**Baylor Health Care Systems** 

First Southwest Company

☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE

☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE

**FEE CATEGORY** 

**FEE CATEGORY** 

**FEE CATEGORY** 

**FEE CATEGORY** 

**FEE CATEGORY** 

PERSON OR ENTITY

FOR WHOM SERVICES WERE PROVIDED

## FEES RECEIVED FOR SERVICES RENDERED

**PART 15** 

TO A LOBBYIST OR LO	OBBYIST'S EN	<b>IPLOYER</b>		
Report any fee you received for providi chapter 305 of the Government Code, sates or reimburses a person required services were provided, and indicate th INSTRUCTION GUIDE.	or for providing service to be registered as a lo	s to or on behalf of a bbvist. Report the	a person you actually name of each person	know directly compen-
<sup>1</sup> PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Bank of America			
<sup>2</sup> FEE CATEGORY	LESS THAN \$5,000	<b>55,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	X \$25,000-OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Citigroup Global Marke	et, Inc		
FEE CATEGORY	LESS THAN \$5,000	\$5,000 - \$9,999	X \$10,000 - \$24,999	\$25,000-OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	City of Dallas			
FEE CATEGORY	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
COPY A	ND ATTACH ADDITI	ONAL PAGES AS	NECESSADV	

### PERSONAL FINANCIAL STATEMENT PARTS MARKED 'NOT APPLICABLE' BY FILER

Rather than printing a page for each Part the filer checked 'Not Applicable,' this page summarizes whether the 'Not Applicable' checkbox was checked for each Part. If the checkbox is checked next to a Part below, then no pages for that Part should be present in the report. If a checkbox is not checked, then pages for that Part should be present in the report.

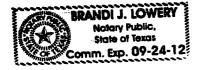
□ N/A	Part 1A - Sources of Occupational Income
□ N/A	Part 1B - Retainers
□ N/A	Part 2 - Stock
□ N/A	Part 3 - Bonds, Notes & Other Commercial Paper
□ N/A	Part 4 - Mutual Funds
□ N/A	Part 5 - Income from Interest, Dividends, Royalties & Rents
<sup>™</sup> N/A	Part 6 - Personal Notes and Lease Agreements
□ N/A	Part 7A - Interests in Real Property
□ N/A	Part 7B - Interests in Business Entities
⊠ N/A	Part 8 - Gifts
⊠ N/A	Part 9 - Trust Income
⊠ N/A	Part 10A - Blind Trusts
⊠ N/A	Part 10B - Trustee Statement
□ N/A	Part 11A - Assets of Business Associations
□ N/A	Part 11B - Liabilities of Business Associations
□ N/A	Part 12 - Boards and Executive Positions
□ N/A	Part 13 - Expenses Accepted Under Honorarium Exception
□ N/A	Part 14 - Interest in Business in Common with Lobbyist
□ N/A	Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer
⊠ N/A	Part 16 - Representation by Legislator Before State Agency
⊠ N/A	Part 17 - Benefits Derived from Functions Honoring Public Servant
⊠ N/A	Part 18 - Legislative Continuances
	·

### PERSONAL FINANCIAL STATEMENT AFFIDAVIT

The law requires the personal financial statement to be verfied. The verfication page must have the signature of the individual required to file the personal financial statement, as well as the signature and stamp or seal of office of a notary public or other person authorized by law to administer oaths and affirmations. Without proper verification, the statement is not considered filed.

> I swear, or affirm, under penalty of perjury, that this financial statement covers calendar/)ear ending December 31, 2008, and is true and correct and includes all information required to be reported by me under chapter 572 of the Government Code.

> > Signature of Filer



AFFIX NOTARY STAMP / SEAL ABOVE

Brandi J. Lowery pavalegal notary

Printed name of officer administering oath

Title of officer administering oath